

DT02 Rec'd PCT/PTO 07 MAR 2005

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 017700-0174 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 107526745 Unassigned
INTERNATIONAL APPLICATION NO. PCT/JP03/13887	INTERNATIONAL FILING DATE 10/29/2003	PRIORITY DATE CLAIMED 10/31/2002
TITLE OF INVENTION METHOD OF FORMING THIN FILM ON BASE SUBSTANCE VIA INTERMEDIATE LAYER		
APPLICANT(S) FOR DO/EO/US Katsuya HASEGAWA, Teruo IZUMI, Yuh SHIOHARA, Yoshihiro SUGAWARA, Tsukasa HIRAYAMA, Fumiyasu OBA and Yuichi IKUHARA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> <input checked="" type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern other document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 		
002.1383238 FORM Other items or information: PTO-1390 (Modified)		

DT12 Rec'd PCT/PTO 07 MAR 2005

U.S. APPLICATION NO. (if known, see 37 CFR 1.15) Unassigned		INTERNATIONAL APPLICATION NO. 10/526745 PCT/JP03/13887		ATTORNEY'S DOCKET NUMBER 017700-0174																		
21. <input checked="" type="checkbox"/> The following fees are submitted:																						
<table border="1"> <tr> <td><input checked="" type="checkbox"/> a) Basic national fee</td> <td>\$300.00</td> <td>\$ 300.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/> b) Examination fee</td> <td>\$200.00</td> <td>\$ 200.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/> c) Search fee</td> <td>\$500.00</td> <td>\$ 500.00</td> <td></td> </tr> <tr> <td colspan="2">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$1000.00</td> <td></td> <td></td> </tr> </table>						<input checked="" type="checkbox"/> a) Basic national fee	\$300.00	\$ 300.00		<input checked="" type="checkbox"/> b) Examination fee	\$200.00	\$ 200.00		<input checked="" type="checkbox"/> c) Search fee	\$500.00	\$ 500.00		TOTAL OF ABOVE CALCULATIONS =		\$1000.00		
<input checked="" type="checkbox"/> a) Basic national fee	\$300.00	\$ 300.00																				
<input checked="" type="checkbox"/> b) Examination fee	\$200.00	\$ 200.00																				
<input checked="" type="checkbox"/> c) Search fee	\$500.00	\$ 500.00																				
TOTAL OF ABOVE CALCULATIONS =		\$1000.00																				
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.																						
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																			
19 - 100 =	0 /50 =	0	x \$ 250.00	\$ 0.00																		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))				\$ 0.00																		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$																		
Total Claims	10 - 20 =	0	x \$ 50.00	\$ 0.00																		
Independent Claims	1 - 3 =	0	x \$ 200.00	\$ 0.00																		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$ 360.00	\$																	
TOTAL OF ABOVE CALCULATIONS =				\$ 1000.00																		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				+ \$ 0.00																		
SUBTOTAL =				\$ 1000.00																		
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$																		
TOTAL NATIONAL FEE =				\$ 1000.00																		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property				\$																		
TOTAL FEES ENCLOSED =				\$ 1000.00																		
				Amount to be refunded:																		
				charged:																		
a. <input checked="" type="checkbox"/> A check in the amount of \$1,000.00 to cover the above fees is enclosed.																						
b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$0 to cover the above fees. A duplicate copy of this sheet is enclosed.																						
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.																						
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																						
SEND ALL CORRESPONDENCE TO: Foley & Lardner LLP Customer Number: 22428																						
SIGNATURE <u>David A. Blumenthal</u> David A. Blumenthal for NAME <u>26,257</u> REGISTRATION NUMBER <u>Ref. No. 38,819</u>																						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re International Application of Katsuya HASEGAWA et al.

International Serial Number: PCT/JP03/13887

International Filing Date: October 29, 2003

For: Method of Forming Thin Film on Base Substance via Intermediate Layer

VERIFICATION OF TRANSLATION

Honorable Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Gihei NAKAMURA, residing at c/o Fukami Patent Office, Mitsui Sumitomo Bank Minamimorimachi Bldg., 1-29, Minamimorimachi 2-chome, Kita-ku, Osaka-shi, Osaka, Japan, declares:

- (1) that he knows well both the Japanese and English languages;
- (2) that he translated the above-identified International Application from Japanese to English;
- (3) that the attached English translation is a true and correct translation of the above-identified International Application to the best of his knowledge and belief; and
- (4) that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated this 16th day of February, 2005

Translator's Signature:



Gihei Nakamura